

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Continuation Patent Application Of:
Kenneth Kiron and Kevin S. Bander**

Mailed: May 26, 2000

For: **Open End Mutual Fund Securitization Process**

-) Prior Application:
-) ~~Application No. 09/140,868~~
-) Filed: August 27, 1998
-) Art Unit: 2761
-) Examiner: S. Tkacs
- s) Present Application:
-) Examiner: Not Assigned
-) Art Unit: Not Assigned

Box Patent Application
Fee
Commissioner For Patents
Washington, D.C. 20231

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PRELIMINARY AMENDMENT

Dear Sir:

The above-identified Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048. Before examination, please amend the instant Application as follows:

In the Specification:

On page 1, line 5 (of the Substitute Specification), please insert

--- This Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048. --

In the Claims:

Please cancel Claims 19-51 and add the following Claims:

-- 52. An electronic data processing method for administering a financial product traded as a security comprising:

- a) creating a mutual fund comprising a plurality a selected portfolio of securities;
- b) receiving information on each of said one or more securities in the selected portfolio in an electronic data format;
- c) processing said information to determine in real time the price of the financial product on the basis of a user-defined method of weighting the selected portfolio of securities.

53. A method comprising the steps of:

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